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## FAIRNESS AND DIGNITY POLICY

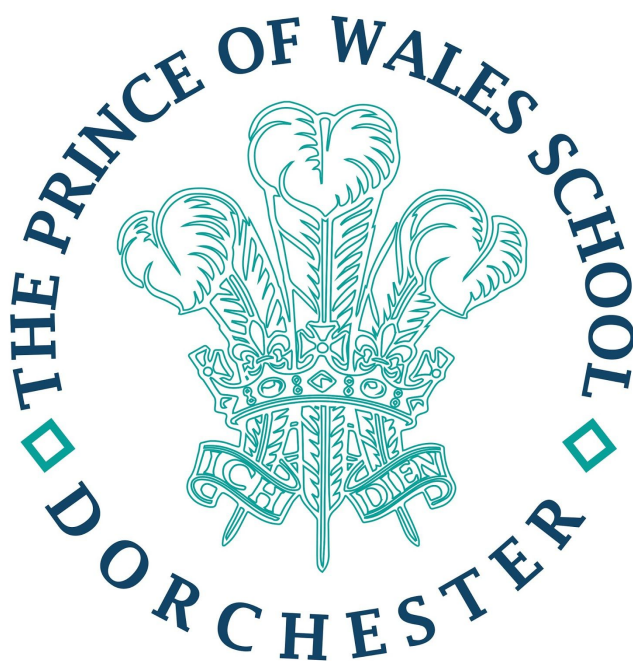
Date formally approved by the Full Governing Body	<b>Approved</b> - Monday 2nd September 2019
Name of Authorisation Signatory	<b>Chair of Governors</b> - Martin Butcher
Signatory and Date	 <b>Monday 2nd September 2019</b>

Dorset Model Policy	Yes
Next Review Date	September 2020

Responsible for implementation and monitoring	<b>Gary Spracklen - Headteacher</b> Martin Butcher - Chair of Governors
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## The Prince of Wales School



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## Fairness and Dignity Policy

Adopted by the Governing Body on Monday 2nd September 2019

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## 1 Introduction

- 1.1 The Prince of Wales School is committed to promoting a fair and dignified working environment and culture which is free from any form of bullying, harassment or victimisation. This will enable all employees to contribute more effectively to the school and to perform to the best of their abilities. A positive working environment will enhance working relationships, encourage good attendance levels and ultimately improve the quality of education delivered to our pupils.
- 1.2 The purpose of the policy is to promote a positive and inclusive working environment and to ensure that employees are aware that unacceptable behaviours such as bullying, harassment, victimisation and intimidation will not be tolerated. The policy also provides a mechanism for handling allegations of unacceptable behaviour.
- 1.3 This policy is recommended to all schools for adoption. **Please be aware that the Local Authority is not able to accept liability for any actions, claims, costs or expenses arising out of a decision not to follow this recommended policy or procedure, where it is found that the Governing Body has been negligent or acted in an unfair or discriminatory manner in exercising its employment powers.**
- 1.4 This procedure has been agreed following consultation with the recognised Trades Unions.

## 2 Scope

- 2.1 This policy covers all employees and workers of The Prince of Wales School.
- 2.2 Where appropriate, reasonable adjustments to working arrangements and appropriate support will be provided to employees in order to ensure all employees are treated equitably in accordance with this Policy and Procedure.
- 2.3 Nothing in this procedure should preclude any pragmatic means of resolving issues and/or improving working relationships.

## 3 Responsibilities

- 3.1 The Headteacher and Governing Body seek to support all employees in their working life and aim to provide a positive and fulfilling environment in which to work. The Headteacher and Governing Body aim to protect all employees from bullying and harassment at work and will not tolerate any forms of unacceptable behaviour. Allegations of unacceptable behaviour will be dealt with in a responsive, supportive and sensitive manner, maintaining appropriate confidentiality.

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- 3.2 All employees have a duty to build appropriate working relationships within the school and are encouraged to resolve difficulties at an early stage.
- 3.3 All employees are obliged to comply with this policy and to treat all colleagues fairly and with dignity and respect. In the event that an employee becomes aware that a colleague is either the recipient or perpetrator of unacceptable behaviour they should report it to the Headteacher, or the Chair of Governors, or their line manager.
- 3.4 All employees have a personal responsibility for their own behaviour and for ensuring their conduct at work is appropriate and assists in creating an environment where individuals can be confident enough to bring complaints without fear of ridicule or reprisal.
- 3.5 All parties have a responsibility to maintain appropriate levels of confidentiality throughout the application of this policy and procedure.
- 3.6 If it is found an employee has made mischievous or malicious allegations against a colleague, they may be subject to disciplinary action.
- 3.7 It is recognised that cases dealt with under this procedure may, by their very nature, put a significant degree of pressure on those involved. The school's Stress Management Policy and Procedure can be referred to for further support and guidance. The Local Authority's Staff Counsellor may also be used in some cases, to act as a source of support to employees as necessary.

## 4 What is unacceptable behaviour?

Unacceptable behaviour may be any of the following actions:

### 4.1 Harassment

This may involve action, behaviour, comment or physical contact which can be found to be objectionable by the recipient or which causes offence and can result in the recipient feeling threatened, humiliated, patronised, isolated or degraded, even if this effect was not intended by the person responsible for the conduct.

Harassment may include the use of intimidation intended to subdue or influence others through fear, to prevent an employee from speaking out or making a complaint.

Harassment may also include the use of bullying which may be characterised as offensive, intimidating, malicious or insulting behaviour, and abuse or misuse of power through means intended to undermine, humiliate or injure the recipient. It can be an abuse or misuse of power through means intended to undermine, humiliate, denigrate or induce fear.

### 4.2 Victimisation

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Victimisation is where an employee is selected for ill treatment or is treated differently to others because s/he has made a complaint. Employees will be protected from victimisation for filing a complaint of harassment or assisting in an investigation.

### **5 Procedure**

#### **5.1 Investigation**

- 5.1.1 An investigation may be carried out at any time either as part of the formal or informal procedure. When an issue is raised, the Headteacher or a member of the Senior Leadership Team can seek advice from HR as to whether an investigation should be conducted.
- 5.1.2 An investigation is a fact-finding exercise based on the information provided by the employee. It can be used to substantiate or refute statements made by an employee.
- 5.1.3 Advice on the choice of an Investigating Officer can be obtained from the Employee Relations Team. It is important that the Investigating Officer is neutral and impartial. Exceptionally, it may be appropriate to use an independent Investigating Officer.

#### **5.2 Informal Procedure**

- 5.2.1 The aim in all cases will be to resolve issues as promptly and informally as possible. It is in the interests of all parties, and the school, that issues raised are resolved at the earliest opportunity. Advice can be sought from the Employee Relations Team.
- 5.2.2 An employee who believes they are being subjected to unacceptable behaviour(s) is encouraged to try to resolve the matter, in the first instance, with the person against whom they have a complaint. Sometimes individuals are not aware that their behaviour is unwelcome and an informal discussion can often lead to greater understanding and an agreement that the behaviour will cease. It may be appropriate at this stage to seek advice from their line manager, colleague, Trade Union or Professional Association representative.
- 5.2.3 If the employee feels unable to approach the individual, they may seek an intermediary to try to resolve matters early for them, on their behalf. An intermediary person may be a line manager, colleague, Trade Union or Professional Association representative or any other appropriate person.
- 5.2.4 The aim of informal resolution is for the person against whom a complaint has been made to be made aware that certain behaviours and/or actions are unacceptable and that these behaviours and actions should stop. In order to reach this resolution, it may be necessary to have an informal meeting or meetings, which may involve only the individuals concerned or the individuals concerned plus any other nominated individuals in order to achieve a resolution.
- 5.2.5 Every measure should be taken, by all parties, to try to resolve matters informally and all parties should endeavour to contribute to the prompt resolution of matters, without recourse to the formal procedure.

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- 5.2.6 If matters are not able to be resolved informally, or the employee feels unable to approach the person who has perpetrated the unacceptable behaviour, the employee may invoke the formal procedure.

### 5.3 **Formal Procedure Stage 1**

- 5.3.1 If it is not possible for issues to be resolved informally, the employee concerned should write to the Headteacher, or the Chair of Governors, advising them of the complaint and what resolution they are seeking, unless the allegation is against the Headteacher when Paragraph 8 refers. This should be done as soon as possible after the incident(s) or exhaustion of informal action.
- 5.3.2 All formal complaints must be made in writing describing the incident(s) leading to the complaint. On receipt of the complaint, the Headteacher or Chair of Governors should discuss the issues with HR who will be able to advise on the formal procedure. The Headteacher will arrange separate meetings with the employee concerned and the employee against whom the complaint has been made. Either party may be accompanied to the meeting by a Professional Association or Trade Union representative or other colleague.
- 5.3.3 It may be appropriate for the Headteacher to meet separately with both parties and representatives prior to convening a joint meeting.
- 5.3.4 At the meeting the Headteacher will try to resolve matters between both parties and identify any necessary next steps in managing the issue(s).
- 5.3.5 In the event that the Headteacher deems there to be a case of misconduct, the issue will be dealt with in accordance with the school's disciplinary procedure. The Headteacher can decide to undertake an investigation into proceedings at any stage during the procedure.
- 5.3.6 Following the meeting the Headteacher may decide on any of the options outlined in paragraph 6 in order to resolve matters between both parties.
- 5.3.7 In all cases the Headteacher will draw up a detailed action plan, to be shared with both parties, identifying the proposed resolution to the issue(s) with appropriate timescales.
- 5.3.8 The Headteacher will make arrangements to review matters, in accordance with the action plan, within an appropriate timescale following the meeting.

### 5.4 **Formal Procedure Stage 2**

- 5.4.1 If the employee who made the initial complaint is dissatisfied with the resolution following stage 1 of the formal procedure, they must make their complaint, in writing, to the Chair of the Governing Body stating the nature of their complaint and why they are dissatisfied with attempts at resolution to date.

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- 5.4.2 The Chair of the Governing Body will arrange to meet with the employee concerned and the Headteacher in order to ascertain the point that the case has reached and to discuss any further options. The employee against whom the complaint has been made will also be invited to attend. Either party may be accompanied to the meeting by a Professional Association or Trade Union representative.
- 5.4.3 If issues continue to be unresolved, the matter may be referred to a panel of Governors, convened for this purpose, for a decision on what action should be taken to resolve matters. Human Resources will support the school as far as reasonably practicable in order to achieve a suitable resolution.

### **6 Options to Consider in resolving Fairness and Dignity Cases**

#### **6.1 Mediation**

It may be deemed appropriate that both parties attend mediation with an impartial facilitator in order to bring both parties back together, so as to seek an agreed resolution (See Paragraph 7).

#### **6.2 Change in Structure / Reporting Lines**

A minor alteration to team structure or reporting lines may alleviate the issue, and following any necessary consultation, the Headteacher may alter a team structure or reporting line in order to resolve matters.

#### **6.3 Change in Role / Responsibilities**

Changing certain aspects of one or both employee's responsibilities may assist in alleviating the issue. In order to achieve this, with appropriate consultation, the Headteacher may decide to alter the role as necessary.

#### **6.4 Team Building**

Team building may be considered as an option to resolve matters by improving team relationships and team communication, in order to address issues within working relationships.

#### **6.5 Redeployment**

In particularly complex cases, and usually following attempts at mediation and matters having proceeded to the formal stage, the Headteacher may decide to consider redeployment of either party following HR advice.

#### **6.6 Disciplinary Action**

Please refer to paragraph 5.3.5 – acts of harassment and victimisation are misconduct and may be classed as gross misconduct which can lead to dismissal without notice. This will also include acts of physical violence between colleagues. Advice should be sought from the Employee Relations Team in cases where disciplinary action is being considered.



### 6.7 Formal Grievance

If the above courses of action fail to resolve the matter, it may become necessary for the employee to consider raising a formal grievance to seek resolution of the issues.

## 7 The Role of Mediation

- 7.1 Mediation is a confidential process designed to help bring both parties together with the aid of an impartial, objective third party facilitator. The aim is to enable communication in order to increase the likelihood of a resolution and improved working relationships.
- 7.2 Workplace mediation is only effective when employees enter the process voluntarily. During the mediation process, employees are encouraged to identify their own solutions and agreements. Mediators are impartial and will seek to help all parties equally. They will not express opinions or make judgments about who is right or wrong.
- 7.3 The mediator will seek to identify what has happened, how relationships have broken down and will speak independently to all parties in order to assess the best way to bring the parties together. Usually a joint meeting will be held at a neutral venue in order to explore the issues and reach an agreement on how both parties can move forwards.
- 7.4 The Prince of Wales School is committed to using mediation as a means of resolving conflict amongst employees. The school will in all cases, where appropriate, seek to engage the services of an impartial mediator to assist in resolving matters. However, it is recognised that mediation may not be appropriate in all cases.

## 8 Allegations against the Headteacher

- 8.1 If an allegation is made against the Headteacher, the employee is encouraged to try to resolve the matter, in the first instance, informally and directly with the Headteacher.
- 8.2 If the employee feels unable to approach the Headteacher they may seek an intermediary to try to resolve matters early for them, on their behalf. An intermediary person may be a colleague, Trade Union or Professional Association representative, a Governor or any other appropriate person.
- 8.3 The aim of all parties will be to resolve matters promptly and informally.
- 8.4 If informal resolution cannot be achieved, the same procedure will apply as outlined in Paragraph 5.3 (Formal Procedure – Stage 1), but it will be the responsibility of the Chair of Governors to progress



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matters. The Employee Relations Team will be able to offer advice to the Chair of Governors in these cases.

### **9 Group or Team Complaints**

- 9.1 In cases where a group of employees wish to make the same complaint, the group may nominate an appropriate representative to make the complaint on the employees' behalf. The appropriate representative may be a member of the group of employees, nominated by the group, or a Trade Union / Professional Association representative who has agreed to represent the group.
- 9.2 The representative will aim to try to resolve the matter in the first instance with the person against whom the group has a complaint, although great care and tact must be exercised by the representative to ensure that the person against whom the complaint is being made does not themselves feel threatened in any way.
- 9.3 The same procedure will apply as detailed above, but it will be the responsibility of the representative to inform all employees involved of progress made and any proposed outcomes.

### **10 Confidentiality**

- 10.1 The importance of maintaining the confidentiality of employees contacted through this procedure, or who become party to its processes, should not be underestimated. As far as possible, The Prince of Wales School, requires confidentiality to be kept between all parties concerned, to ensure a fair and impartial investigation and to maintain a safe and stable environment. Any breach of confidentiality, particularly with the intent of unfairly influencing or undermining an investigation, could be subject to disciplinary action.

### **11 Record Keeping / Monitoring**

- 11.1 Records of all meetings, reports and action plans will be kept throughout each case and shared with the employees involved and their representatives, as appropriate.
- 11.2 The Governing Body, in liaison with the Headteacher, will be responsible for the monitoring of cases raised under this procedure.

### **12 Sources of Assistance and Advice**

- 12.1 The following sources of assistance, advice and information are available:

#### **Staff Counsellor**

- Tel: 01305 224266 (Answerphone)

### **Headline** (Available to Headteachers only)

- Landline : 01202 492129
- Mobile : 07836 342081

### **ACAS** (Advisory, Conciliation and Arbitration Service)

- National Helpline – Tel: 08457 474747
- Website address – [www.acas.org.uk](http://www.acas.org.uk)

### **Teacher Support Network**

- Tel: 08000 561 562
- Website address – [www.teachersupport.info](http://www.teachersupport.info)

### **Andrea Adams' Trust**

- Tel: 01273 704900
- [www.andreaadamstrust.org.uk](http://www.andreaadamstrust.org.uk)

### **Appropriate Trades Unions**

- Contact your Trade Union for advice